

**Notice of Allowability**

Application No.

09/622,959

Examiner

Minh Dieu Nguyen

Applicant(s)

ELGRESSY ET AL.

Art Unit

2137

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 12/12/07.
2. ☒ The allowed claim(s) is/are 27-34, 42-49 and 57-64.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☒ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

### EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Chad D. Terrell on 1/2/2008.

2. The specification has been amended as follows:

#### **The second paragraph on page 9**

"Of course, as will be apparent to the skilled person, the filter S7 is not a physical filter, but rather a logical one. Logical filters of this kind can be provided in a plurality of ways, using many different analysis processes and criteria, which will be predetermined by the skilled person according to the particular requirements of the system involved."

**has been changed to**

--Of course, as will be apparent to the skilled person, the filter S7 is not a physical filter, but rather a logical one. Logical filters of this kind can be provided in a plurality of ways, using many different analysis processes and criteria, which will be predetermined by the skilled person according to the particular requirements of the system involved. For example, the logic could be implemented in computer readable storage media.—

The claims have been amended as follows:

**In claim 57**

“(Previously presented) Logic for preventing hostile use of computer resources by an application running on a workstation, the logic encoded in media and operable when executed to:”

**has been changed to**

--(Previously presented) Logic for preventing hostile use of computer resources by an application running on a workstation, the logic encoded in computer readable storage media and operable when executed to:--

**Claims 35- 41, 50-56 and 65-71 are cancelled.**

***Allowable Subject Matter***

3. Claims 27-34, 42-49 and 57-64 are allowed.

4. The following is an examiner's statement of reasons for allowance:

The present invention is directed to a method for preventing the access to the use of computer resources by hostile applications. Each independent claim (claims 27, 42 and 57) identifies the distinct features of providing, on a workstation, a pre-set list of applications permitted to run on the workstation and a list of one or more computer resources on the workstation that are not accessible to unspecified applications; receiving at the filter a request for access generated by an unspecified application downloaded to the workstation from a source external to the workstation, the request for

access identifying a computer resource resident on the workstation to which the unspecified application seeks access and determining, by the filter on the workstation, whether the requested computer resource is on the list of one or more computer resources that are not accessible to unspecified applications. The closest prior arts fail to anticipate or render the above limitations obvious.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Minh Dieu Nguyen whose telephone number is 571-272-3873.

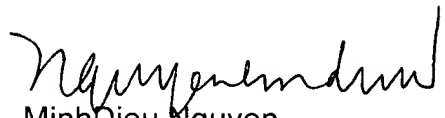
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on 571-272-3865. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

6. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you

Application/Control Number:  
09/622,959  
Art Unit: 2137

Page 5

have questions on access to the Private PAIR system, contact the Electronic Business  
Center (EBC) at 866-217-9197 (toll-free).



MinhDieu Nguyen  
Patent Examiner  
1/7/08